

MINUTES

CALIFORNIA TRANSPORTATION COMMISSION

**December 9-10, 1997
Sacramento, California**

The meeting of the California Transportation Commission was called to order at 1:40 p.m. on December 9, 1997 at 1:40 p.m. in Assembly Hearing Room 447 of the State Capitol Building in Sacramento, California.

Members Present: Edward Jordan, Chairman
Edward Sylvester, Vice Chairman
Mary Berglund
P. Gregory Conlon, arrived at 1:55 p.m.
Octavia Diener
David Fleming
Roger Kozberg
Dana Reed
Robert Wolf
Senator Quentin Kopp, Ex-Officio, arrived at 1:45 p.m.
Assemblyman Kevin Murray, Ex-Officio, arrived at 3:25 p.m.

The meeting recessed at 5:35 p.m. and reconvened at 8:35 a.m. on December 10, 1997 in the same location.

Members Present: Edward Jordan, Chairman
Edward Sylvester, Vice Chairman
P. Gregory Conlon
Octavia Diener
David Fleming
Roger Kozberg
Dana Reed
Robert Wolf

Members Absent: Mary Berglund
Senator Quentin Kopp, Ex-Officio
Assemblyman Kevin Murray, Ex-Officio

1. GENERAL MATTERS

1.(1) Approval of Minutes for October 29-30, 1997

Chairman Jordan presented the draft minutes. Commissioner Diener moved to approve the minutes. Commissioner Reed seconded the motion which carried 7-0 (Commissioners Berglund and Conlon were absent).

1.(2) Commission Reports

Chairman Jordan reported that Chairman-elect Wolf and Vice-Chairman-elect Sylvester would assume their offices upon adjourning the December meeting.

Executive Director Remen discussed the meeting schedule and reported that it was the consensus of the Commissioners to schedule the Southern California STIP hearing on April 7, 1997. The Commission requested staff consider scheduling the Southern California STIP hearing at LAX and also to incorporate a presentation on the LAX Master Plan on the same day. Commissioner Diener moved to approve the meeting schedule as proposed. Commissioner Reed seconded the motion which carried 7-0 (Commissioners Berglund and Conlon were absent).

ACTION: R. Remen

Executive Director Remen presented for approval the List of Meetings for Compensation for October and November. Commissioner Fleming moved to approve the List. Commissioner Kozberg seconded the motion which carried 7-0 (Commissioners Berglund and Conlon were absent).

Carolyn Ewing, California Transportation Foundation Chairman, invited the Commissioners to the Tranny Awards Banquet scheduled for May 6, 1998 and encouraged the submittal of nominations for Tranny Awards.

Commissioner Conlon reported on the November 20, 1997 PUC hearing concerning railroad safety. He also reported on the NCRA hearing in Santa Rosa.

1.(3) Business, Transportation and Housing Agency Report

Del Pierce, Business, Transportation, and Housing Agency, reported on the six month extension for ISTEA, Senator Kopp's hearing about transferring the Capitols to a Joint Powers Board, and follow-up work on the guidelines and clean-up legislation for SB 45.

1.(4) Caltrans Report

Caltrans Director van Loben Sels reported on staff assignments, the status of the Route 710 and Central Freeway projects, and the engineering examination on the Internet.

1.(5) Regional Agencies Report

Craig Scott, Regional Agencies Moderator, reported on the RTPA meeting and their discussion of the SB 45 guidelines.

CONSENT CALENDAR

Caltrans and Commission staff discussed Items 2.5b(3), 2.5c(1), 2.5g, 2.5i, and 2.6a(2) on the Potential Consent Calendar which were added to the Consent Calendar.

Deputy Director Robert Chung reported on the changes to the Consent Calendar. The changes were as follows:

- Item 2.4b. Resolutions of Necessity #C-17275, C-17277, and C-17280 were withdrawn by Caltrans. The corrected parcel number for C-17295 is 15967-2.
- Item 2.5b(2) was changed to seventeen SHOPP projects totaling \$51,514,000 due to Caltrans withdrawing projects #3 and #9 and changing the allocation on project #18 to \$2,454,000.
- Item 2.9(2) was pulled off the Consent Calendar for separate discussion.

Vice-Chairman Sylvester moved to approve the Consent Calendar with the additions and changes. Commissioner Fleming seconded the motion which carried 7-0-1 (Commissioner Berglund was absent and Chairman Jordan abstained from voting on all items on the Consent Calendar).

The Revised Consent Calendar was as follows: Items 2.1c, 2.1e, 2.2a(1 and 2), 2.3c, 2.4b, 2.4c, 2.4d, 2.5a, 2.5b(1, 2, and 3), 2.5c(1, 2, 3, and 4), 2.5g, 2.5i, 2.6a(1 and 2), 2.6b, 2.6e, and 2.9(1).

2. PROJECT BUSINESS MATTERS**2.1 Program Amendments/Project Approvals****2.1a. STIP Amendment for Action**

No Items This Month

2.1b. STIP Amendment for Notice

No Items This Month

2.1c. TCI Amendment for Action

- TCI Amendment 96S-50 - Action
Reprograms \$1,450,000 in FY 1996-97 TCI Program funds from the final design, construction and right of way acquisition project for the Stockton Northwest Track Connection on the San Joaquin Corridor, to a design and construction project for parking and service platforms at the Manteca/Lathrop and Tracy stations on the Altamont Commuter Corridor. Requested by the San Joaquin Regional Rail Commission (SJRRRC). (Consistent with Concurrent Allocation under Item 2.6a(1).)

This item was approved on the Consent Calendar.

2.1d. Toll Bridge/Aeronautics Program Amendments

No Items This Month

2.1e. Proposition 116 - Non Urban County Project Approvals/Amendments

- Project Approval Amendment for the County of Nevada's two Transit Vehicle Acquisition Projects to modify projects from the acquisition of four 31 passenger vehicles, five 22 passenger vehicles, five 19 passenger vehicles, and four minivans for a total of 18 vehicles to the acquisition of two 31 passenger vehicles, seven 14-19 passenger vehicles, and eleven 19-25 passenger vehicles for a total of 20 vehicles. The change in vehicle size mix is due to the re-evaluation of regional needs. No change in transit vehicle funding amount of \$1,589,952. (Consistent with concurrent partial allocations under item 2.6b(2).) (Consistent with Concurrent Allocation under Item 2.6b)
Resolution PA-97-25Amending Resolution PA-96-05

This item was approved on the Consent Calendar.

2.1f. Proposition 116 - Project Approvals/Amendments

- Project Approval for \$10,000,000 to Bay Area Rapid Transit District (BART) for San Francisco Airport Extension
Resolution PA-97-26

Proposition 116 Program Manager Sharon Scherzinger described the project and recommended approval contingent upon 1.) written concurrence by San Mateo Transit District in transfer of applicant designation and status to BART, 2.) written acceptance of that transfer by BART, and 3.) written concurrence by MTC in BART's application.

Marty Knight, Coalition for One Stop Terminal, discussed concerns about cost overruns and project design and requested the Commission to place limitation on programming the funds. Bruce Jenkins, Peninsula Rail 2000, expressed support of Mr. Knight's comments.

Dorothy Dugger, BART, discussed the status of the project and funding agreements with the federal government.

Commissioner Reed moved to approve the Resolution. Commissioner Wolf seconded the motion which carried 6-0-1 (Commissioners Berglund and Conlon were absent and Commissioner Kozberg abstained from voting).

2.2 Environmental Matters**2.2a.** Approval of Projects for Future Consideration of Funding

- 2.2a.(1)** Route 395 in Mono County - Convert a portion of the existing two-lane conventional highway to a four-lane divided expressway in the vicinity of the Community of Lee Vining. (Negative Declaration)
Resolution E-98-2

This item was approved on the Consent Calendar.

- 2.2a.(2)** Route 14 in Kern County - Reconstruction of intersection at California City Boulevard, approximately five miles north of Mojave. (Negative Declaration)
Resolution E-98-4

This item was approved on the Consent Calendar.

2.2b. Adoption of Findings and Approval for Future Consideration of Funding

- 2.2b.(1)** Route 101 in Del Norte County - Realign highway south of Crescent City, near Cushing Creek. (Final Environmental Impact Report)
Resolution E-98-1

Chief Deputy Director Pete Hathaway described the 1988 STIP project which is scheduled for delivery in 1999 and noted that Caltrans feels all impacts can be mitigated.

Commissioner Reed moved to adopt the findings and approve the EIR. Commissioner Kozberg seconded the motion which carried 7-0 (Commissioners Berglund and Diener were absent).

- 2.2b.(2)** Route 37 in Solano County - Construct new freeway on new alignment between the Napa River Bridge and Diablo Street in the City of Vallejo. (Final Environmental Impact Report) (Consistent with concurrent Route Adoption under Item 2.3a.)
Resolution E-98-3

Chief Deputy Director Pete Hathaway presented Items 2.2b(2) and 2.3a. He described the project and discussed mitigation solutions and recommended approval.

Commissioner Reed moved to approve Items 2.2b(2) and 2.3a. Vice Chairman Sylvester seconded the motion which carried 6-0 (Commissioners Berglund, Conlon, and Diener were absent).

2.3 Highway Route Matters**2.3a. Route Adoption**

- Adoption of a location for Route 37 in the City of Vallejo and County of Solano as a freeway, from the Napa River Bridge, Kilometer Post R12.9 (Post Mile R8.0) to Diablo Street, Kilometer Post 16.9 (Post Mile 10.5). (Consistent with concurrent Adoption of Findings under Item 2.2b(2).)
Resolution HRA 98-1.

Refer to Item 2.2b(2) for proceeding concerning this agenda item.

2.3b. New Public Road Connections

No Items This Month

2.3c. Three Relinquishment Resolutions

6-Ker-99-25.8, Route 99 in the City of Bakersfield.
Resolution R-3346.

7-LA-91-4.7/6.0 Route 91 in the City of Gardena.
(Consistent with Concurrent Financial Vote under Item 2.5b(2))
Resolution R-3347.

10-Cal-26-4.4 Route 26 in the County of Calaveras.
Resolution R-3348.

This item was approved on the Consent Calendar.

2.3d. Vacation Resolutions

No Items This Month

2.3e. Rescinding Resolutions

- Rescission of Freeway Adoption on Route 1 in Monterey County, from the Route 183 junction to Salinas-Pajaro Road.
Resolution HRU 98-2.

Stephen Maller, Caltrans, described the proposed rescission of the freeway adoption and reasons for the rescission and then recommended approval.

Commissioner Reed moved to approve the Resolution. Commissioner Fleming seconded the motion which carried 6-0 (Commissioners Berglund, Conlon, and Diener were absent).

2.4 Highway Right of Way Matters**2.4a.** Resolutions of Necessity - Appearance

- County of Tulare
 - 06-Tul-198-R8.4
C-17269
 - 06-Tul-198-R8.66
C-17270

Jim Nicholas, Caltrans, reported that Tulare County had agreed to revised designs and pulled Items 2.4a and 2.4e off the agenda.

2.4b. 27 Resolutions of Necessity
C-17271 Through C-17274
C-17276 and C-17278 Through C-17279
C-17281 Through C-17300

This item was approved on the Consent Calendar.

2.4c. Airspace Leases

- 11-SD-5-17
Equitable Life Assurance Society of the United States

This item was approved on the Consent Calendar.

2.4d. Director's Deeds

- Items # 1 Through 20
Excess Lands - Return to State: \$2,896,737
Return to Others: \$0

This item was approved on the Consent Calendar.

- 2.4e.** Notice of Intention to Acquire Property Now in Public Recreational Use from County of Tulare for the Route 198 Visalia Freeway Project

This item was pulled from the agenda - see Item 2.4a.

2.5 Highway Financial Matters

- 2.5a.** Financial Vote for Minor Projects.

This item was approved on the Consent Calendar.

- 2.5b.** Financial Vote for State STIP/SHOPP/Toll Bridge/TSM/TEA Projects.

- 2.5b.(1)** Two STIP Projects totaling \$2,234,000 plus \$1,475,000 in Local Contributions.
Resolution FP-97-39

This item was approved on the Consent Calendar.

- 2.5b.(2)** Seventeen SHOPP Projects totaling \$51,514,000.
Resolution FP-97-40

This item was approved on the Consent Calendar.

- 2.5b.(3)** Two SHOPP Amended Projects totaling \$7,401,000.
Resolution FP-97-41

This item was approved on the Consent Calendar.

- 2.5c.** Financial Vote for Local Assistance STIP/TSM/TEA/EEM Projects

- 2.5c.(1)** One Local FCR Project at \$10,050,000.
Resolution FP-97-42

This item was approved on the Consent Calendar.

- 2.5c.(2)** One Local TSM Project at \$222,750.
Resolution FP-97-43

This item was approved on the Consent Calendar.

- 2.5c.(3)** Two Local TEA Projects totaling \$682,000 plus \$215,400 in Local Contributions.
Resolution FP-97-44

This item was approved on the Consent Calendar.

- 2.5c.(4)** One Local EEM Project at \$158,997 plus \$53,161 in Contributions from Others.
Resolution FP-97-45

This item was approved on the Consent Calendar.

- 2.5d.** Financial Vote for Project with Cost Increases Greater than 120 Percent of Program Amount. One Project at \$2,063,000.
Resolution FP-97-46

- 11-Imp-78 - SHOPP project in Imperial County on Route 78 Construct shoulders. Current program value \$1,496,000 and current estimate \$2,063,000 for a 38 percent increase over programmed amount.

Jim Nicholas, Caltrans, described the project, discussed the reasons for the cost increase, and recommended approval.

Commissioner Wolf moved to approve the Resolution. Commissioner Reed seconded the motion which carried 5-0 (Commissioners Berglund, Conlon, Diener, and Jordan were absent).

- 2.5e.** Financial Vote for Supplemental Funds for Previously Approved Projects.
Four projects totaling \$2,883,500.
Resolution FA-97-5

- 07-LA-14 - SHOPP project in Los Angeles County on Route 14.
\$543,000 To Allow Award. Original vote \$1,560,000 for a grand total of \$2,103,000. 35 Percent increase over Vote.
- 07-LA-60 - STIP project in Los Angeles County on Route 60.
\$1,600,000 for On Going Project. Original vote \$73,300,000 minus \$18,566,000 (G-12) for Award of \$54,734,000 plus \$10,547,000 Additional (G-12s) for a grand total of \$66,881,000. 22 Percent increase over Award.
- 08-SBd-40 - SHOPP project in San Bernardino County on Route 40.
\$233,500 To Allow Award. Original vote \$608,000 for a grand total of \$841,500. 38 Percent increase over Vote.
- 11-Imp-7 - SHOPP project in Imperial County on Route 7.
\$507,000 for Claims Settlement Project. Original vote \$7,805,000 plus \$999,200 (G-12s) for a grand total of \$9,311,200. 19 Percent increase over Vote.

Jim Nicholas, Caltrans, described the projects and discussed why supplemental funds were required.

Commissioner Kozberg moved to approve the Resolution. Commissioner Fleming seconded the motion which carried 7-0 (Commissioners Berglund and Diener were absent).

- 2.5f.** Emergency Allocations

- 2.5f.(1)** Informational Report - Emergency G-11 Allocations
Ten Projects totaling \$8,755,000

Jim Nicholas, Caltrans, reported on the G-11 allocations for emergency projects.

- 2.5f.(2)** Informational Report - Seismic Retrofit G-11 Allocations from Proposition 192 Revenues. One Project at \$241,700.

Jim Nicholas, Caltrans, reported on the G-11 allocation for one seismic retrofit project. He also discussed the status of Phase II noting that 90% of the projects in Phase II were awarded and 860 projects were completed and that 90% of the projects would be completed by the end of the year.

- 2.5f.(3)** Informational Report - Seismic Retrofit G-11 Allocation of \$15,861,000 from Proposition 192 Revenues to Allow Award for Toll Seismic Retrofit Project at San Mateo-Hayward Bridge.

Jim Nicholas, Caltrans, reported on the G-11 allocation for the toll seismic retrofit project on the San Mateo-Hayward Bridge. He discussed the five bids received and noted that the low bid was 22% over the estimate.

- 2.5g.** Financial Vote for Toll Seismic Retrofit Project Funded with Proposition 192 Revenues. One Project at \$19,200,000. Resolution FP-97-47

- 04-Ala-SF-80 - In Alameda and San Francisco Counties on Route 80 at the San Francisco-Oakland Bay Bridge for a cost of \$19,200,000.

This item was approved on the Consent Calendar.

- 2.5h.** Financial Vote for SHOPP Re-Engineering Projects in Districts 2, 11 and 12. Three Projects totaling \$25,000,000. (Consistent with Concurrent Delegation of Authority under Item 4.7) Resolution FP-97-48.

Jim Nicholas, Caltrans, presented Items 2.5h and 4.7 concurrently. He discussed Caltrans' request for \$25 million to fund an experiment to re-engineer SHOPP delivery in Districts 2, 11, and 12. He also discussed the goal of reducing delivery and cost of SHOPP projects.

The Commissioners discussed the proposal and discussed concern about the lack of benchmarks to measure what the project costs would have been without re-engineering the process.

Commissioner Wolf moved to approve Items 2.5h and 4.7. Commissioner Conlon seconded the motion which carried 7-0 (Commissioners Berglund and Diener were absent). The Commission asked Caltrans staff to:

- Present quarterly reports on the SHOPP re-engineering effort.

ACTION: J. Nicholas

- Submit to the Commission the benchmark estimates for system improvements, scheduling and support costs as pursued through traditional means and as estimated for the SHOPP re-engineering pilot program for each District.

ACTION: J. Nicholas

2.5i. Financial Vote for State Office Building Project.
One Project at \$477,000.
Resolution FP-97-49.

- 03-Sac-5500 - In Sacramento, at Department of Transportation (Caltrans) Headquarters Building, 1120 'N' Street, for the Preliminary Plans and Working Drawings of the Structural Seismic Upgrade, Annex II Project.

This item was approved on the Consent Calendar.

2.6 Mass Transportation Financial Matters

2.6a. Financial Vote for Local TCI Projects

2.6a.(1) Financial Vote for Nine Local TCI Program Projects
Resolution MFP-97-42

- \$1,173,000 to Santa Clara County Transit District for Light Rail Transit Station
- \$1,065,000 to Bay Area Rapid Transit District (BART) for BART Station Rehabilitation
- \$740,000 to Santa Clara County Transit District for Guadalupe Corridor Ticket Vending Machine Replacement
- \$130,000 to City of Gilroy for Peninsula Commute Service Southern Pacific Train Depot Intermodal Station Restoration
- \$75,000 to South County Area Transit for Rehabilitation of Transit Coaches
- \$376,000 to City of Corcoran for Corcoran Intermodal Facility
- \$500,000 to City of Riverside for Arlington Avenue Undercrossing
- \$1,950,000 to San Joaquin Regional Rail Commission for Altamont Pass Service Platforms and Parking (Consistent with Concurrent TCI Amendment 96S-50 under Item 2.1c.)
- \$1,288,000 to City of Irvine for Passenger Grade Separation Improvements

This item was approved on the Consent Calendar.

2.6a.(2) Financial Vote for One Local TCI Program Project
Resolution MFP-97-44

- \$500,000 to West Contra Costa Transportation Advisory Committee for Richmond Intermodal Transfer Station.

This item was approved on the Consent Calendar.

2.6b. Financial Vote for Proposition 116 Non-Urban County Projects

- \$109,008 to Nevada County for Purchase of Paratransit Vehicles. (Consistent with concurrent Project Approval (PA) Amendment under Item 2.1e.) Resolution BFP-97-12
- \$419,966 to Nevada County for Purchase of Paratransit Vehicles. (Consistent with concurrent Project Approval (PA) Amendment under Item 2.1e.) Resolution BFP-97-13

This item was approved on the Consent Calendar.

2.6c. Financial Vote for STIP Projects

No Items This Month

2.6d. Financial Vote for Multiple Program Funded Projects

No Items This Month

2.6e. Allocation Amendments for Proposition 116 Projects

- Reduces project allocation from \$7,018,000 to \$3,723,491 in Proposition 116 Bond funds for the Mather Field Light Rail Extension. The balance of \$3,294,509 will be replaced with \$1,675,000 in FY 1996-97 Transit Capital Improvement (TCI) Program funds and \$1,619,509 in Traffic System Management (TSM) Program funds. The deallocated balance of \$3,294,509 in Proposition 116 funds will be reprogrammed at a future date for another Sacramento Regional Transit District (SRTD) light rail project.
Resolution BFA-97-05 Amending Resolution BFP-95-08, which Amended Resolution BFP-94-72

This item was approved on the Consent Calendar.

2.6f. SB 2800 Advance Local Match Requests

No Items This Month

2.6g. Budget Revision

- Transfers \$30,737,902 from Capital Outlay, State Highway Account to Local Assistance, State Highway Account, for previously voted STIP local assistance projects to provide Budget Act expenditure authority.
Resolution FM-98-03

Joan Borucki, Caltrans, presented Items 2.6g and 2.9(2) concurrently. She described the request to shift budget authority between Capital Outlay Support and Local Assistance to cover shift in funding between the State Highway Account and the Transportation Planning and Development Account for several STIP and TCI projects.

Vice-Chairman Sylvester moved to approve Items 2.6g and 2.9(2). Commissioner Fleming seconded the motion which carried 6-0 (Commissioners Berglund, Diener, and Wolf were absent).

2.7 Aeronautics Financial Matters

No Items This Month

2.8 Waiver of Commission Policy Guidelines

- Waiver and extension of Financial Guidelines' Six-Month Award Deadline for Ten Local FY 1996-97 Traffic Systems Management (TSM) Plan Projects.

Stephen Maller, Caltrans, reported on the need for extensions for 10 TSM projects and recommended a one-year extension for the Livermore project and a six-month extension for the remaining projects.

Commissioner Reed moved to approve the waivers as recommended by Caltrans. Commissioner Fleming seconded the motion which carried 6-0 (Commissioners Berglund, Diener, and Wolf were absent).

2.9 Technical Changes to Previously Approved Resolutions

- 2.9(1)** Resolution PA-93-25 - Originally approved July 9, 1993, and Technically Corrected on August 10, 1994. Transfer of applicant status from the Calaveras County Local Transportation Commission to the County of Calaveras for two Proposition 116 Non-Urban County Transit Applications.

This item was approved on the Consent Calendar.

- 2.9(2)** Resolution MFP-96-44 - Originally approved June 5, 1997 in the amount of \$12,327,000 to the Department of Transportation (Caltrans) for Capital Corridor Improvements. Changes allocation funding source from the Transportation Planning and Development Account (TPDA) to State Highway Account (SHA) to help alleviate potential funding shortfall in the TPDA.

See Item 2.6g for proceedings related to this item.

3. PROGRAM STATUS**3.1** Caltrans Monthly FY 1997-98 Program Finance Report

Joan Borucki, Caltrans, presented the Monthly Program Finance Report covering the status of Phases I and II of the Seismic Retrofit program, AB 3090 allocations, federal apportionments, project delivery, and the State Highway Account balance which was \$1.5 billion at the end of September 1997.

The Commissioners discussed the report and requested Commission and Caltrans staffs to restructure the monthly financial report, showing and tracking past trends and forecasts, in consultation with Commissioner Conlon, for presentation at the February Commission meeting.

ACTION: R. Remen/C. House

3.2 Monthly Report on Status of Projects Previously Voted but not Awarded

Jim Nicholas, Caltrans, reported on the status of three projects on the monthly report for projects previously voted but not awarded.

3.3 Quarterly Reports:

3.3a. Program Delivery

Jack Boda, Caltrans, presented the quarterly report on the status of project delivery for the STIP, SHOPP, TSM, and Toll Seismic Retrofit programs.

3.3b. Seismic Safety Retrofit Program

Jack Boda, Caltrans, reviewed the status of Phases I and II of the Seismic Safety Retrofit Program.

The Commissioners discussed the need for additional information on the Toll Bridges and asked Caltrans staff to insert and retain the original delivery schedule target dates for each toll bridge seismic safety retrofit project.

ACTION: J. Boda

The Commissioners also discussed recent newspaper articles reporting that a significant number of welds on seismic projects were substandard. They asked Caltrans to report back to the Commission on the status of welds on seismic retrofit projects.

ACTION: J. van Loben Sels

3.3c. Right of Way Expenditures Against Annual Plan

Jack Boda, Caltrans, reported on the status of right-of-way expenditures, noting that \$22 million (15% of target) had been expended.

3.3d. Environmental Document Progress

Jack Boda, Caltrans, reported on progress in getting environmental documents completed. The Commissioners discussed the need for information which would provide early warning for possible delay and asked Caltrans staff to revise the quarterly report to provide early warning of possible delays.

ACTION: J. Nicholas

3.3e. Status of Pending Claims Settlements

Jack Boda, Caltrans, reported on the status of pending claims settlement noting that they had been reduced from 173 claims to 161 claims.

3.3f. SHOPP Program

Jim Nicholas, Caltrans, presented the quarterly SHOPP program report. The Commissioners discussed the report information and format and requested Caltrans to broaden the report beyond just reporting on projects added to the SHOPP by the Department and focus on incremental progress toward annual four-year programmatic and ten-year long range objectives and commitments.

ACTION: L. Deter

3.3g. STIP Project Deletions

Jim Nicholas, Caltrans, reported that no STIP projects had been deleted during the first quarter.

3.3h. Timely Use of Funds in all Grant Programs

Joan Borucki, Caltrans, presented the quarterly report on the Timely Use of Funds in Grant Programs and reported on the need to develop a new reporting mechanism as a result of SB 45. The Commissioners asked Caltrans Staff to work with the Commission to develop a recasting of the Quarterly Reports, particularly in light of new programming requirements under SB 45.

ACTION: Commissioners Wolf & Sylvester, R. Remen/C. House/J. Boda

3.3i. Cash Forecast State Highway Account

Joan Borucki, Caltrans, discussed the new 24-month cash forecast noting that while it would provide a concept of what to expect, it would not be precise. The Commissioners discussed the report and requested that the report retain a frame of reference of prior forecast and address factors that caused the change in the updated cash forecast.

ACTION: R. Remen/C. House

3.3j. Intercity Rail Farebox Recovery by Corridor

Warren Weber, Caltrans, presented the quarterly report on intercity farebox recovery. The Commission requested the Department to:

- Explore ways of minimizing the incidents of standees and when standees occur, develop some compensation; also assess the benefits of trade-offs in the Kids Ride Free Program which is viewed by Caltrans as discouraging weekday ridership.

ACTION: Warren Weber

- Schedule for Commission meeting in the future a presentation by Caltrans on the close-out of the California Car.

ACTION: A. Poat/R. Chung

3.4 Quarterly Finance Report on Proposition 116

Sharon Scherzinger, Proposition 116 Program Manager, presented the quarterly report on Proposition 116. She reviewed the status of each Proposition 116 category and indicated that \$1.61 billion of the \$1.99 billion bond program had been approved and \$1.47 billion had been allocated.

4. POLICY MATTERS**4.1 State Legislative Matters****4.1a. Carry Over and New Bills**

Deputy Director Chuck Oldham reviewed legislation from the 1997-98 Legislative Session that failed to pass.

4.1b. Report on Changes to Open Meeting Practices Resulting from 1997
Amendments to Bagley/Keene Act

Executive Director Remen reviewed the new requirements of the Bagley-Keene open meeting law passed in 1997 which affect the Commission's meeting procedures. The Commission directed staff to clarify the requirements for availability of written material prepared by individual Commissioners and clarify the circumstances and any restrictions that are prerequisite for scheduling a teleconference meeting.

ACTION: R. Remen/C. Spanos

4.2 1998 STIP Implementation per SB 45 (Kopp)

4.2a. Draft Interim 1998 STIP Policies and Guidelines

Deputy Director David Brewer presented the Draft 1998 STIP Interim Guidelines. He summarized the main issues as (1) the funding of retrofit soundwall projects, (2) regional program eligibility, and (3) identifying an interregional program vision or strategic plan. He also briefly discussed each section of the draft.

The Commissioners and Commission staff discussed the guidelines. The following individuals also participated in the discussion:

- Senator Quentin Kopp
- Assemblyman Kevin Murray
- John Stevens, Assembly Transportation Committee
- Del Pierce, Business, Transportation and Housing Agency
- James van Loben Sels, Caltrans
- Craig Scott, Regional Agency Moderator
- Rusty Selix, CALCOG Executive Director
- Rosalind Daniels, League of California Cities
- Therese McMillan, Metropolitan Transportation Commission
- Phil Dow, Rural Counties Task Force
- Joshua Shaw, California Transit Association
- Donald LaBelle, County Engineers Association of California
- Patti Reilly, Mariposa County Transportation Commission
- Rob Salaber, CELSOC

The following action items resulted from the discussion:

- Modify Section 13 to allow individual counties and regions to substitute RSTP funds for STP funds programmed through the STIP for TEA projects thereby freeing up the STIP dollars for other purposes.

ACTION: D. Brewer

- Schedule for January Commission meeting final proposed recommendations for clean-up legislation including the suggested approach for programming retrofit soundwalls.

ACTION: R. Remen

- Modify guideline #18 consistent with statement of intent by Senator Kopp as expressed at the meeting.

ACTION: D. Brewer

- Incorporate into guidelines the reference to 2.25% minimum of STIP funds from Interregional Improvement Program for Intercity Rail as proposed by Commissioner Reed.

ACTION: D. Brewer

- Regarding Section 25, assemble a meeting involving Commissioners Berglund, Wolf, Sylvester, and Jordan and representatives of Caltrans, Regional Agencies and Commission staff to discuss the direction and objectives associated with the Interregional Improvement Program.

ACTION: R. Remen

- Provide, on a project by project basis, an estimate of the remaining support costs as well as the support costs to date and provide that information to all Regional Agencies this month. Also upon receipt from each District of candidate projects for 1998 STIP, provide project support estimates in a timely way, detailed as necessary, for local action on RTIPs before submittal to CTC.

ACTION: J. van Loben Sels

- Modify Section 4 of the draft guidelines to indicate Commissioners' desire to seek legislation clarifying the intent and offering as one option the notion that the Retrofit Soundwall Program is a state responsibility; that it should be retained with a stated period of completion and limited to only those projects on the May 1989 list; that Caltrans should be authorized to identify against any projects on that list no longer cost effective; and lastly that funding should be taken off the top.

ACTION: D. Brewer

- Discuss Caltrans role in SB 45 provisions regarding flexibility in the level of programming for individual regional programs.

ACTION: Commissioner Wolf/J. Barna/R. Remen/J. van Loben Sels

4.2b. Strategic Plan for Interregional Road Program

This item was heard as part of Agenda Item 4.2a, Interim 1998 STIP Policies and Guidelines, in reference to Section #25 of those guidelines, as noted in minutes above.

4.2c. Draft 1998 STIP Fund Estimate

Joan Borucki, Caltrans, summarized the draft Fund Estimate. She reviewed the funds available for STIP programming, the SB 45 modifications to the Fund Estimate process and asked Caltrans to:

- Present an itemized breakdown based on actual current or prior year experience with all elements contributing to the Department's estimated \$624 million set aside for owner operator.

ACTION: C. House

- Develop a means for reviewing the full range of projects considered by Caltrans for candidacy under the 10% program and afford an opportunity to review these against the backdrop of the Interregional Improvement Program strategic vision.

ACTION: Commissioner Wolf/J. van Loben Sels

- Re-examine appropriate set aside of PTA funds for High Speed Rail Authority. Re-examine all elements of expenditures against PTA funds to determine the actual magnitude of the deficit.

ACTION: J. Borucki

4.2d. List of Possible Clean-Up Legislation to SB 45

Chief Deputy Director Pete Hathaway reviewed various policy and technical issues for clean-up legislation, including retrofit soundwalls, regional project eligibility, bus purchase and PTA funds, intercity rail programming, RSTP/CMAQ match, and Caltrans consent for programming transfers. The Commissioners will decide at the January 1998 meeting what issue recommendations it wants to send forward to the Legislature.

4.3 California Aviation System Plan (CASP)**4.3a.** CASP Policy Element

Nancy Benjamin, Caltrans, briefed the Commission on the 1997 CASP Policy element update. Deputy Director Chuck Oldham presented the comments of the Commission's Technical Advisory Committee on Aeronautics on the draft policy element of the CASP.

4.3b. Airport Functional Classification

Nancy Benjamin, Caltrans, briefed the Commission on the development of a system of airport functional classification for inclusion in the inventory element of the CASP.

4.3c. Adoption of Biennial Update of Capital Improvement Program (CIP)
Element of the CASP

This item was pulled from the agenda.

4.4 Rural Counties Task Force Annual Report

Phil Dow, Chairman of the Rural Counties Task Force, submitted the Rural Task Force Annual Report and discussed the history of the Rural Counties Task Force.

Vice-Chairman Sylvester discussed the importance of the Rural Counties Task Force.

4.5 Use of Federal Transportation Enhancement Activities (TEA) Program Savings

Chief Deputy Director Pete Hathaway presented the staff recommendations for programming TEA savings: \$750,000 for one cost increase and \$1,800,000 for 5 standby projects, with other standby projects able to wait for further savings to accrue.

Byron Wear, Deputy Mayor of City of San Diego, asked the Commission to fund the Mission Beach Boardwalk project instead of others, noted that the City had completed and circulated an environmental impact report as the Commission had required. Following discussion involving City of San Diego staff and Deputy Mayor Wear, the Commission declined to do that.

Commissioner Wolf moved, with a second from Commissioner Conlon, that the \$750,000 cost increase be programmed. The motion passed 7-0.

Commissioner Wolf then moved, with a second from Commissioner Kozberg, that the 5 standby list projects recommended by staff be programmed. The motion passed 6-1 with Commissioner Reed opposed.

4.6 Adoption of 1997 Annual Report to Legislature

Executive Director Remen reviewed the key elements of the 1997 Annual Report to the Legislature and summarized the comments on the draft which were received from individual Commissioners.

After the Commissioners discussed the report, Commissioner Conlon moved to adopt the report, incorporating the Commissioners' comments, and delegating to the Chairman and Executive Director sign-off of the final document. Commissioner Kozberg seconded the motion which carried 7-0 (Commissioners Berglund and Diener were absent).

ACTION: R. Remen

4.7 Delegation of Authority to the Department of Transportation (Caltrans) to suballocate Capital Outlay funds for SHOPP Projects Implemented by the Pilot Teams in Districts 2, 11 and 12, using the Re-engineering Process. (Consistent with Concurrent Allocation under Item 2.5h) Resolution G-97-15

Refer to Item 2.5h for proceedings related to this item.

4.8 Interim Process for Obtaining Financial Approvals during the Transfer of Toll Bridge Responsibilities from the California Transportation Commission (CTC) to the Metropolitan Transportation Commission (MTC) Resolution G-97-16

Jim Nicholas, Caltrans, described the proposal to establish an interim process for obtaining financial approval during transfer of toll bridge responsibilities from the Commission to the Bay Area Toll Authority per SB 226.

After the Commissioners discussed the proposal, Commissioner Fleming moved to approve the proposal and direct Caltrans to advise MTC of the need to act following CTC action within a reasonably short time frame, i.e., 90 days, for any allocation of toll bridge revenues requiring action both from the Commission and MTC/Bay Area Toll Bridge Authority. Commissioner Wolf seconded the motion, which carried 7-0 (Commissioners Berglund and Diener were absent).

4.9 Increase in Upper Limit for SHOPP Minor Projects to \$750,000

Jim Nicholas, Caltrans, presented Caltrans' request to raise the limit for Minor A SHOPP projects from \$300,000 to \$750,000 and discussed reasons for the request.

Commissioner Wolf moved to approve the increase. Commissioner Reed seconded the motion which carried 7-0 (Commissioners Berglund and Diener were absent).

4.10 Safety Roadside Rest Area Program - Report on Potential for Closing Certain Existing Roadside Rests, Prioritization of New Roadside Rests Relative to Availability of Alternate Service, and Rehabilitation Needs

Gary Bush, Caltrans, presented the Roadside Rest Area Program report and discussed Caltrans' recommendations for the rest areas.

Stan Randolph, California Truckers Association, indicated support for the program.

4.11 Presentation of 1996-97 Annual Airspace Report

Scott Atkins, Caltrans, presented the annual Airspace Report noting that the past year had produced the highest net income ever and the lowest ever expense to income ratio. He also discussed accomplishments with the telecommunications licensing program. Commissioner Conlon requested Caltrans staff to provide additional information on the Department's airspace leasing program.

ACTION: D. Shields

4.12 Presentation of California-Mexico Border Transportation Issues

Gary Gallegos, Caltrans, presented information on the status of California-Mexico border issues. An additional Caltrans staffperson summarized and discussed the status of several projects affecting the border region.

5. MODAL ISSUES**5.1** Rail

- Presentation by Dr. Nancy Jewell Cross on Rail-Based Systems Solutions for Freeway Overloads

Dr. Nancy Jewell Cross presented information on rail-based systems for freeway overloads.

6. OTHER MATTERS

There being no further business, the meeting adjourned at 1:35 p.m.

Robert I. Remen, Executive Director